

<p align="center">LIEN INFORMATION UNDER SECTION 12(O) OF THE RAILROAD UNEMPLOYMENT INSURANCE ACT</p> <p align="center">(See Important Notices Below)</p>	<p>1. Please indicate To:/From:</p> <hr/> <p align="center"><small>(LAW FIRM/INSURANCE COMPANY)</small></p> <hr/> <p align="center"><small>NAME OF INQUIRER</small></p> <hr/> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"><hr/><small>(PHONE NUMBER)</small></div> <div style="width: 45%;"><hr/><small>(FACSIMILE NUMBER)</small></div> </div>		<p>2. Please indicate To:/From:</p> <p align="center">U.S. Railroad Retirement Board Office of Programs - Operations Attn: Customer Services Section 844 North Rush Street Chicago, Illinois 60611-0292 Telephone: (312) 751-4820 Facsimile: (312) 751-7185</p>		
<p>3.</p> <p align="center">Employee's Name</p>	<p>4.</p> <p align="center">Social Security Number</p>	<p>5.</p> <p align="center">Date of Injury</p>	<p align="center">RRB USE ONLY</p> <p align="center">Lien Amount</p>	<p align="center">* Final</p> <div style="display: flex; justify-content: space-around;"> <div style="width: 45%; text-align: center;">Y</div> <div style="width: 45%; text-align: center;">N</div> </div>	
				Y	N
				Y	N
				Y	N

Notices: This is in response to your inquiry about benefits recoverable under section 12(o) of the Railroad Unemployment Insurance act (RUIA). The Railroad Retirement Board's (RRB) completed reply is confirmation of the amount of the RRB's lien. No confirmation letter will be provided.

* If the lien amount shown is "NOT FINAL", the amount is valid for settlement and reimbursement purposes only if you inform the RRB within five days that settlement has been made. **Regulations require that you notify the RRB within five (5) days of settlement or judgement and that you pay the RRB the amount withheld to satisfy the lien within thirty (30) days of date of the settlement or judgement. Amounts that are not paid within thirty days are subject to interest charges from the date of settlement or judgement.** If we do not receive such a notice within five days, the amount recoverable may be increased by the payment of additional benefits. After five days, we will provide, upon request, an updated figure on the amount of benefits paid.

If a case has reached a settlement, the RRB should be reimbursed for the amount of our lien. The amount of reimbursement should be sent in the form of a check or money order made payable to the Railroad Retirement Board. Please show the employee's social security number and the date of settlement on your remittance.

Attorney's fees - The RRB's regulations (20 CFR 341.9) provide that the **RRB shall not be liable** for the payment of any attorney's fee or other expenses incurred in connection with any personal-injury claim.

Statutory lien - The RRB does not have a subrogation claim, but does have the right to reimbursement protected by Federal statutory lien set forth in section 12(o) of the RUIA (45 U.S.C. 362(o)).

<p>(RRB Representative)</p>	<p>(Date Returned)</p>
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INSTRUCTIONS

Please complete items 1 - 5 and send this form via facsimile (fax) to the Railroad Retirement Board, Office of Programs - Claims Adjustment and Settlement section at (312) 751-7185.

ITEM

1. Circle the appropriate sender designation and enter the name of the law firm or insurance company making the inquiry, including the other identifying information as requested (i.e. name of the inquirer, telephone and fax numbers and the date). For example, if the Form ID-3s-1 is being faxed to the Railroad Retirement Board, circle "From:" and complete the remaining items.
2. Circle the appropriate receiver designation. For example, if the form ID-3s-1 is being faxed from the Railroad Retirement Board, circle "From:". Item 1 must be addressed to the inquirer.
3. Enter the employee's name beginning with the first initial, middle initial and full last name. **Do not enter a partial name.**
4. Enter employee's social security number.
5. Enter the earliest date of occurrence of the injury for which a settlement is being made. If more than one injury is being settled, enter all applicable dates.

Paperwork Reduction/Privacy Act Notice The Railroad Retirement Board is authorized to collect the information requested on Form ID-3s-1 under section 5(b) of the Railroad Unemployment Insurance Act (RUIA). The information is needed to determine the amount of sickness benefits reimbursable under section 12(o) of the RUIA. Because you are required to provide this information under section 9(a) of the RUIA, failure to complete and return this form could result in a fine or imprisonment or both.

We estimate that this form takes an average of 3 minutes to complete, including the time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspects of this form, including suggestions for reducing completion time, to the Chief of Information Management, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-0292 and to the Office of Management and Budget, Paperwork Reduction Project (3220-0036), Washington, DC 20503. Please do not return this form to either of these addresses.